

Date: July 17, 2021

SE/2021-22/23

To,

The General Manager The Corporate Relation Department Bombay Stock Exchange Limited Phiroza Jeejeebhoy Towers 14 <sup>th</sup> Floor, Dalal Street Mumbai 400 001 <b>Scrip Code: 534804</b>	The National Stock Exchange India Ltd. Listing Department Exchange Plaza Bandra Kurla Complex Bandra (East) Mumbai 400 051 <b>Scrip Code: CARERATING</b>
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Dear Sir,

**Sub: Audit Report on Reconciliation of Share Capital**

Please find enclosed herewith the Audit report on Reconciliation of Share Capital of CARE Ratings Limited from A. K. Jain & Company, Practising Company Secretary for the quarter ended June 30, 2021.

Kindly acknowledge the same.

Thanking you,

Yours faithfully,

**For CARE Ratings Limited**

*Nehal Shah*



**Nehal Shah**  
**Company Secretary & Compliance Officer**

Encl : As above

**CARE Ratings Ltd.**

The Board of Directors  
**Care Ratings Limited**  
4<sup>th</sup> Floor, Godrej Coliseum  
Somaia Hospital Road  
Off Eastern Express Highway  
Sion (East), Mumbai - 400022

### RECONCILIATION OF SHARE CAPITAL AUDIT

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records/documents maintained by **Care Ratings Limited** (hereinafter referred to as 'the Company') and its Registrars and Share Transfer Agents KFin Technologies Private Limited for issuing this report in accordance with Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018.

In our opinion and to the best of our information and according to the explanations given to us and based on such verification as considered necessary, we hereby report the following in respect of the quarter ended as on 30<sup>th</sup> June, 2021:

1.	For quarter Ended	:	30 <sup>th</sup> June, 2021	
2.	ISIN	:	INE752H01013	
3.	Face Value	:	Rs.10/- per share	
4.	Name of the Company	:	Care Ratings Limited	
5.	Registered Office Address	:	4 <sup>th</sup> Floor, Godrej Coliseum, Somaiya Hospital Road, Off Eastern Express Highway, Sion (East), Mumbai 400 022.	
6.	Correspondence Address	:	4 <sup>th</sup> Floor, Godrej Coliseum, Somaiya Hospital Road, Off Eastern Express Highway, Sion (East), Mumbai 400 022.	
7.	Telephone & Fax No.	:	Tel: (91 22) 6754 3456, Fax: (91 22) 6754 3457	
8.	e-mail Address	:	investor.relations@careratings.com	
9.	Name of the Stock Exchanges where Company's Securities Listed	:	1. BSE Limited and 2. National Stock Exchange of India Ltd	
			<b>Number of Shares</b>	<b>% of Total Issued Capital</b>
10.	Issued Capital	:	2,94,61,214	100.00%
11.	Listed Capital	:	2,94,61,214	100.00%
12.	Held in Dematerialised form in CDSL	:	45,24,196	15.36%
13.	Held in Dematerialised form in NSDL	:	2,49,36,717	84.64%
14.	Physical	:	301	0.00%
15.	Total No. of Shares (12+13+14)	:	2,94,61,214	100.00%

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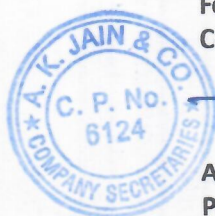
16.	Reasons for difference if any, between (10&15), (11&15)	:	Not Applicable				
17.	Certifying the details of changes in Share Capital during the quarter under consideration as per table below:						
Particulars**	No. of Shares	Applied /Not Applied for listing	Listed on Stock Exchanges	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Pending for Stock Exchanges	Appr. Stock Exchanges
Not Applicable							
18.	Register of Member is updated (Yes/No ) If not, updated upto which date			YES			
19.	Reference of previous quarter with regard to excess dematerialized shares, if any			NIL			
20.	Has the Company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?			NOT-APPLICABLE			
21.	Mention the total No. of requests, if any, confirmed after 21 days and the total No. of requests pending beyond 21 days with the reasons for delay.						
Total No. of Demat requests			No. of requests	No. of Shares	Reasons for delay		
Confirmed after 21 days			Nil	Nil	N.A		
Pending for more than 21 days			Nil	Nil	N.A		
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company		Ms. Nehal Shah Company Secretary & Compliance Officer Tel: (91 22) 6754 3456 Fax: (91 22) 6754 3457				
23.	Name, Address, Telephone, Fax No. & Regn. No. of the Auditor		M/s. A. K. Jain & Co., Company Secretaries 26, 2 <sup>nd</sup> Floor, Shankar Seth Building, 380-382, J. S. S. Road, Chira Bazar, Mumbai - 400 002 Ph. No. 022 2203 4420 Cell No. 09322916161 FCS 6058, CP No. 6124				



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24.	Appointment of common agency for share registry work	KFin Technologies Private Limited Karvy Selenium Tower-B, Plot No.31 & 32, Financial District, Nanakramguda, Serilingampally, Rangareddi, Hyderabad-500 032. Telangana Phone: 040-6716 2222 Fax: 040-2342 0814 Email ID: einward.ris@karvy.com Toll Free No.: 18003454001 Contact Person: Mr. B Venkata Kishore
25.	Any other detail that the Auditor may like to provide	Mr. Haresh Swaminathan, has stepped down as an Interim Compliance Officer and Ms. Nehal Shah appointed as the Company Secretary & Compliance Officer of the Company with effect from June 12, 2021.

Place: Mumbai  
Date: 17<sup>th</sup> July, 2021



For A.K. Jain & Co.  
Company Secretaries

Ashish Kumar Jain  
Proprietor

M. No.: 6058

C.P. No.: 6124

UDIN No.: F006058C000650493