

**General information about company**

Scrip code	534804
NSE Symbol	CARERATING
MSEI Symbol	NA
ISIN	INE752H01013
Name of the company	CARE Ratings Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	100	20.14
As on the end of previous 1st quarter	100	18.22
As on the end of previous 2nd quarter	100	28.25
As on the end of previous 3rd quarter	100	25.9
As on the end of previous 4th quarter	100	23.74

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	69196	29648880			29648880	100	29648880		29648880	100
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	69196	29648880			29648880	100	29648880		29648880	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group												
(B)	Public				100					29647915	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					29647915	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	2	3041565			3041565	10.26	3041565		3041565	10.26
(c)	Alternate Investment Funds	3	1091894			1091894	3.68	1091894		1091894	3.68
(d)	Banks	2	549			549	0	549		549	0
(e)	Insurance Companies	9	3243273			3243273	10.94	3243273		3243273	10.94
(i)	NBFCs registered with RBI	1	150			150	0	150		150	0
Sub-Total (B) (1)		17	7377431			7377431	24.88	7377431		7377431	24.88
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	63	5374089			5374089	18.13	5374089		5374089	18.13
(e)	Foreign Portfolio Investors Category II	1	1750			1750	0.01	1750		1750	0.01
(g)	Any Other (specify)	2	278			278	0	278		278	0
Sub-Total (B) (2)		66	5376117			5376117	18.13	5376117		5376117	18.13
(3)	Central Government / State Government(s)										
(4)	Non-institutions										

(b)	Directors and their relatives (excluding independent directors and nominee directors)	1	18855			18855	0.06	18855	18855	0.06	
(f)	Investor Education and Protection Fund (IEPF)	1	148			148	0	148	148	0	
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	64657	7879435			7879435	26.58	7879435	7879435	26.58	
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	34	2419355			2419355	8.16	2419355	2419355	8.16	
(i)	Non Resident Indians (NRIs)	1457	819079			819079	2.76	819079	819079	2.76	
(l)	Bodies Corporate	485	5290990			5290990	17.85	5290990	5290990	17.85	
(m)	Any Other (specify)	2478	467470			467470	1.58	467470	467470	1.58	
Sub-Total (B) (4)		69113	16895332			16895332	56.98	16895332	16895332	56.98	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		69196	29648880			29648880	100	29648880	29648880	100	
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		69196	29648880			29648880	100	29648880	29648880	100	
Total (A+B+C )		69196	29648880			29648880	100	29648880	29648880	100	

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(2)	Foreign												
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(a)				10.26					3041565	0	0	0	
(c)				3.68					1091894	0	0	0	
(d)				0					549	0	0	0	
(e)				10.94					3243273	0	0	0	
(i)				0					150	0	0	0	
Sub-Total (B)(1)				24.88					7377431	0	0	0	
(2)	Institutions (Foreign)												
(d)				18.13					5374089	0	0	0	
(e)				0.01					1750	0	0	0	
(g)				0					278	0	0	0	
Sub-Total (B)(2)				18.13					5376117	0	0	0	
(3)	Central Government / State Government(s)												



(4)	Non-institutions											
(b)				0.06					18855	0	0	0
(f)				0					148	0	0	0
(g)				26.58					7878470	0	0	0
(h)				8.16					2419355	0	0	0
(i)				2.76					819079	0	0	0
(l)				17.85					5290990	0	0	0
(m)				1.58					467470	0	0	0
Sub-Total (B)(4)				56.98					16894367	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				100					29647915	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )				100					29647915			
Total (A+B+C )				100					29647915			

## Mutual Funds

Searial No.	1	2	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C	NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA SMA	<a href="#">Click here to go back</a>
PAN (II)			Total
No. of fully paid up equity shares held (IV)	448809	2592756	3041565
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	448809	2592756	3041565
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.51	8.74	10.26
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	448809	2592756	3041565
Class eg:y			
Total	448809	2592756	3041565
Total as a % of Total Voting rights	1.51	8.74	10.26
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares			

Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.51	8.74	10.26
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	448809	2592756	3041565
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

### Alternate Investment Funds

Serial No.	1	
Name of the Shareholders (I)	PARI WASHINGTON INVESTMENT FUND	<a href="#">Click here to go back</a>
PAN (II)		Total
No. of fully paid up equity shares held (IV)	889899	889899
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	889899	889899
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3	3
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	889899	889899
Class eg:y		
Total	889899	889899
Total as a % of Total Voting rights	3	3
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares		

Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3	3
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	889899	889899
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

**Foreign Portfolio Investors Category I**

Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI	RAIFFEISEN-EURASIEN-AKTIEN	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	INDIA INSIGHT VALUE FUND	PARI WASHINGTON INDIA MASTER FUND, LTD.	AQUAMARINE MASTER FUND L.P
PAN (II)						
No. of fully paid up equity shares held (IV)	324331	330000	316616	706500	1566456	350000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	324331	330000	316616	706500	1566456	350000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.09	1.11	1.07	2.38	5.28	1.18
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg: X	324331	330000	316616	706500	1566456	350000
Class eg:y						
<b>Total</b>	324331	330000	316616	706500	1566456	350000
<b>Total as a % of Total Voting rights</b>	1.09	1.11	1.07	2.38	5.28	1.18
No. Of Shares Underlying Outstanding						

convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.09	1.11	1.07	2.38	5.28	1.18
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	324331	330000	316616	706500	1566456	350000
Reason for not providing PAN						
Reason for not providing PAN						
Sub-categorization of shares						
Sub-category (i)	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0

## Foreign Portfolio Investors Category I

Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	3593903
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3593903
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.12
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	3593903
Class eg:y	
Total	3593903
Total as a % of Total Voting rights	12.12
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares	



Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.12
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	3593903
Reason for not providing PAN	
Reason for not providing PAN	
Sub-categorization of shares	
Shareholding (No. of shares) under	
Sub-category (i)	0
Sub-category (ii)	0
Sub-category (iii)	0

## Insurance Companies

Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	2902136	2902136
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2902136	2902136
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.79	9.79
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2902136	2902136
Class eg:y		
Total	2902136	2902136
Total as a % of Total Voting rights	9.79	9.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares		

Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.79	9.79
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2902136	2902136
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

**Bodies Corporate**

Serial No.	1	2	3	
Name of the Shareholders (I)	TATA STEEL LIMITED	CRISIL LIMITED	RUSSELL INVESTMENTS LIMITED	<a href="#">Click here to go back</a>
PAN (II)				Total
No. of fully paid up equity shares held (IV)	354000	2622431	531000	3507431
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	354000	2622431	531000	3507431
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.19	8.84	1.79	11.83
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	354000	2622431	531000	3507431
Class eg:y				
Total	354000	2622431	531000	3507431
Total as a % of Total Voting rights	1.19	8.84	1.79	11.83
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares				

Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.19	8.84	1.79	11.83
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	354000	2622431	531000	3507431
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Any Other (specify)		
Searial No.	1	
Category	Other	
Category / More than 1 percentage	Category	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	FOREIGN PORTFOLIO INVESTORS	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	278	278
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	278	278
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	278	278
Class eg:y		
Total	278	278
Total as a % of Total Voting rights	0	0

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	278	278
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)		

	0	0
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**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	1	2	
Name of the Shareholders (I)	S.SHYAM	POPATLAL PUNAMCHAND SHAH	<a href="#">Click here to go back</a>
PAN (II)			Total
No. of fully paid up equity shares held (IV)	322700	297500	620200
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	322700	297500	620200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.09	1	2.09
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	322700	297500	620200
Class eg:y			
Total	322700	297500	620200
Total as a % of Total Voting rights	1.09	1	2.09
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares			

Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.09	1	2.09
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	322700	297500	620200
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Any Other (specify)				
Serial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	51	2422	5	2478
No. of fully paid up equity shares held (IV)	68263	395162	4045	467470
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	68263	395162	4045	467470
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.23	1.33	0.01	1.57
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	68263	395162	4045	467470
Class eg:y				
Total	68263	395162	4045	467470
Total as a % of Total Voting rights	0.23	1.33	0.01	1.57

No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.23	1.33	0.01	1.57
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	68263	395162	4045	467470
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0

Sub-category (iii)	0	0	0	0
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