

General information about company

| | |
|--|-----------------------|
| Scrip code | 534804 |
| NSE Symbol | CARERATING |
| MSEI Symbol | NA |
| ISIN | INE752H01013 |
| Name of the company | CARE Ratings Ltd |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2022 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | | | | | | | | | | |
| (B) | Public | 71423 | 29646547 | | | 29646547 | 100 | 29646547 | | 29646547 | 100 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 71423 | 29646547 | | | 29646547 | 100 | 29646547 | | 29646547 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | | | | | | |
| (B) | Public | | | | 100 | | | | | 29645582 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 29645582 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|------------------|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (2) | Foreign | | | | | | | | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 2 | 3138907 | | | 3138907 | 10.59 | 3138907 | | 3138907 | 10.59 |
| (e) | Foreign Portfolio Investors | 58 | 5180635 | | | 5180635 | 17.47 | 5180635 | | 5180635 | 17.47 |
| (f) | Financial Institutions/ Banks | 2 | 549 | | | 549 | 0 | 549 | | 549 | 0 |
| (i) | Any Other (specify) | 9 | 3243273 | | | 3243273 | 10.94 | 3243273 | | 3243273 | 10.94 |
| Sub-Total (B)(1) | | 71 | 11563364 | | | 11563364 | 39 | 11563364 | | 11563364 | 39 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 69140 | 8461781 | | | 8461781 | 28.54 | 8461781 | | 8461781 | 28.54 |
| (a(ii)) | Individuals - ii. Individual shareholders | 33 | 2233688 | | | 2233688 | 7.53 | 2233688 | | 2233688 | 7.53 |

| | | | | | | | | | | | |
|--|---|-------|----------|--|--|----------|-------|----------|--|----------|-------|
| | holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | | | | | |
| (b) | NBFCs registered with RBI | 1 | 150 | | | 150 | 0 | 150 | | 150 | 0 |
| (e) | Any Other (specify) | 2178 | 7387564 | | | 7387564 | 24.92 | 7387564 | | 7387564 | 24.92 |
| Sub-Total (B)(3) | | 71352 | 18083183 | | | 18083183 | 61 | 18083183 | | 18083183 | 61 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 71423 | 29646547 | | | 29646547 | 100 | 29646547 | | 29646547 | 100 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 71423 | 29646547 | | | 29646547 | 100 | 29646547 | | 29646547 | 100 |
| Total (A+B+C) | | 71423 | 29646547 | | | 29646547 | 100 | 29646547 | | 29646547 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|---------------------------------------|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (2) | Foreign | | | | | | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 10.59 | | | | | 3138907 |
| (e) | | | | 17.47 | | | | | 5180635 |
| (f) | | | | 0 | | | | | 549 |
| (i) | | | | 10.94 | | | | | 3243273 |
| Sub-Total (B)(1) | | | | 39 | | | | | 11563364 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 28.54 | | | | | 8460816 |
| (a(ii)) | | | | 7.53 | | | | | 2233688 |
| (b) | | | | 0 | | | | | 150 |
| (e) | | | | 24.92 | | | | | 7387564 |
| Sub-Total (B)(3) | | | | 61 | | | | | 18082218 |
| Total Public Shareholding (B)=(B)(1)+ | | | | 100 | | | | | 29645582 |

| | | | | | | | | | |
|---|---|--|--|-----|--|--|--|------------------------|----------|
| (B)(2)+(B) (3) | | | | | | | | | |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 29645582 |
| Total (A+B+C) | | | | 100 | | | | | 29645582 |
| Disclosure of notes on shareholding pattern | | | | | | | | Textual Information(1) | |

Text Block

Textual Information()

the company has two categories of Non-Resident Indian(NRI) Shareholders as mentioned below: 1) Non-Resident Indian(NRI) 2) Non-Resident Indian(NRI) Non- Repatriable However as per the requirement under BSE mail dated May 11 2022, the NRI category repatriable and non repatriable is consolidated and reported under NRI In Any Other (Non Institutions).

Mutual Funds

| Serial No. | 1 | 2 | |
|---|--|---|---------------------------------------|
| Name of the Shareholders (I) | ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE BALANCED ADVANTAGE FUND | NIPPON LIFE INDIA TRUSTEE LTD- A/C NIPPON INDIA MULTI CAP FUND | Click here to go back |
| PAN (II) | AAATB0102C | AAATR0090B | Total |
| No. of fully paid up equity shares held (IV) | 546151 | 2592756 | 3138907 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 546151 | 2592756 | 3138907 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.84 | 8.75 | 10.59 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 546151 | 2592756 | 3138907 |
| Class eg:y | | | |
| Total | 546151 | 2592756 | 3138907 |
| Total as a % of Total Voting rights | 1.84 | 8.75 | 10.59 |
| No. Of Shares Underlying Outstanding | | | |

| | | | |
|--|--------|---------|---------|
| convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.84 | 8.75 | 10.59 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 546151 | 2592756 | 3138907 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

Foreign Portfolio Investors

| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
|---|--|----------------------------|---|--------------------------|---|----------------------------|
| Name of the Shareholders (I) | VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI | RAIFFEISEN-EURASIEN-AKTIEN | VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND | INDIA INSIGHT VALUE FUND | PARI WASHINGTON INDIA MASTER FUND, LTD. | AQUAMARINE MASTER FUND L.P |
| PAN (II) | AAATY0918K | AABTR1546B | AABTV0442N | AACCI6838F | AAFCP7824F | ABJFA0708R |
| No. of fully paid up equity shares held (IV) | 324331 | 330000 | 316616 | 702000 | 1566456 | 350000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 324331 | 330000 | 316616 | 702000 | 1566456 | 350000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.09 | 1.11 | 1.07 | 2.37 | 5.28 | 1.18 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 324331 | 330000 | 316616 | 702000 | 1566456 | 350000 |
| Class eg:y | | | | | | |
| Total | 324331 | 330000 | 316616 | 702000 | 1566456 | 350000 |
| Total as a % of Total Voting rights | 1.09 | 1.11 | 1.07 | 2.37 | 5.28 | 1.18 |
| No. Of Shares Underlying Outstanding | | | | | | |

| | | | | | | |
|--|--------|--------|--------|--------|---------|--------|
| convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.09 | 1.11 | 1.07 | 2.37 | 5.28 | 1.18 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 324331 | 330000 | 316616 | 702000 | 1566456 | 350000 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

Foreign Portfolio Investors

| | |
|---|---------------------------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 3589403 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3589403 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 12.11 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 3589403 |
| Class eg:y | |
| Total | 3589403 |
| Total as a % of Total Voting rights | 12.11 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares | |

| | |
|--|---------|
| Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 12.11 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 3589403 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |

| Any Other (specify) | | |
|---|--|---------------------------------------|
| Serial No. | 1 | |
| Category | Other | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | Click here to go back |
| Name of the Shareholders (I) | LIFE INSURANCE CORPORATION OF INDIA | |
| PAN (II) | AAACL0582H | Total |
| No. of the Shareholders (I) | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 2902136 | 0 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 2902136 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 9.79 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 2902136 | 0 |
| Class eg:y | | |
| Total | 2902136 | 0 |
| Total as a % of Total Voting rights | 9.79 | 0 |
| | | |

| | | |
|--|---------|---|
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and Warrants (X) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 9.79 | 0 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 2902136 | 0 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Any Other (specify) | | | | | | | |
|---|----------|-----------------------------|--|---------------------------|------------------|--|--|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Trusts | Others | Others | Non-Resident Indian (NRI) | Clearing Members | Bodies Corporate | Bodies Corporate |
| Category / More than 1 percentage | Category | Category | More than 1 percentage of shareholding | Category | Category | More than 1 percentage of shareholding | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | | ALTERNATIVE INVESTMENT FUND | PARI WASHINGTON INVESTMENT FUND | | | TATA STEEL LIMITED | CRISIL LIMITED |
| PAN (II) | | | AAETP1632M | | | AAACT2803M | AAACT3151E |
| No. of the Shareholders (I) | 4 | 3 | 1 | 1603 | 43 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 90233 | 1091894 | 889899 | 914147 | 31378 | 354000 | 2622431 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 90233 | 1091894 | 889899 | 914147 | 31378 | 354000 | 2622431 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.3 | 3.68 | 3 | 3.08 | 0.11 | 1.19 | 8.85 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 90233 | 1091894 | 889899 | 914147 | 31378 | 354000 | 2622431 |

| | | | | | | | |
|--|-------|---------|--------|--------|-------|--------|---------|
| Class eg:y | | | | | | | |
| Total | 90233 | 1091894 | 889899 | 914147 | 31378 | 354000 | 2622431 |
| Total as a % of Total Voting rights | 0.3 | 3.68 | 3 | 3.08 | 0.11 | 1.19 | 8.85 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.3 | 3.68 | 3 | 3.08 | 0.11 | 1.19 | 8.85 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 90233 | 1091894 | 889899 | 914147 | 31378 | 354000 | 2622431 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

Any Other (specify)

| Serial No. | 8 | 9 | 10 | |
|---|--|----------|------------------|---------------------------------------|
| Category | Bodies Corporate | IEPF | Bodies Corporate | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | Category | Category | |
| Name of the Shareholders (I) | RUSSELL INVESTMENTS LIMITED | | | Click here to go back |
| PAN (II) | AABCR4631C | | | Total |
| No. of the Shareholders (I) | 1 | 1 | 524 | 2178 |
| No. of fully paid up equity shares held (IV) | 531000 | 148 | 5259764 | 7387564 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 531000 | 148 | 5259764 | 7387564 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.79 | 0 | 17.74 | 24.91 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 531000 | 148 | 5259764 | 7387564 |
| Class eg:y | | | | |
| Total | 531000 | 148 | 5259764 | 7387564 |
| Total as a % of Total Voting rights | 1.79 | 0 | 17.74 | 24.91 |

| | | | | |
|--|--------|-----|---------|---------|
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.79 | 0 | 17.74 | 24.91 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 531000 | 148 | 5259764 | 7387564 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence