

Format of Holding of Specified securities

1. Name of Listed Entity: CARE RATINGS LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 534804, CARERATING, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2020
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		
c	Whether the Listed Entity has any shares against which depository receipts are issued?		
d	Whether the Listed Entity has any shares in locked-in?		
e	Whether any shares held by promoters are pledge or otherwise encumbered?		

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (V)+(VI)+(VII)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of (A+B+C))	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares	No.	As a % of total Shares		
								Class X	Class Y									(X)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	(xviii)	
(A)	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0
(B)	Public	60444	29461214	0	0	29461214	100.00	29461214	0	29461214	100.00	0	0.00	0	0.00	NA	NA	29460913
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DBs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	60444	29461214	0	0	29461214	100.00	29461214	0	29461214	100.00	0	0.00	0	0.00	0	#DIV/0!	29460913

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII+X) As a % of (A+B+C2)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
									Class X	Class Y	Total			Total as a % of (A+B+C)	No.		
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total	(X)	(XI)	(XII)	(XIII)	(XIV)	
(1)	Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(b)	Central Government/State Governments		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(d)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(2)	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)+(A1)+(A2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares	No.		As a % of total Shares held
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)				
(1)	Institutions																		
(a)	Mutual Funds																		
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C A	AAATB0102C	6	2043804	0	0	2043804	6.94	2043804	0	2043804	6.94	0	0.00	NA	NA	2043804		
	FRANKLIN INDIA SMALLER COMPANIES FUND	AAAT14931H	1	892369	0	0	892369	3.03	892369	0	892369	3.03	0	0.00	NA	NA	892369		
	Venture Capital Funds																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
	VANGUARD EMERGING MARKETS STOCK INDEX FUND A	AAATY0918K	1	303379	0	0	303379	1.03	303379	0	303379	1.03	0	0.00	NA	NA	303379		
	FRANKLIN TEMPLETON INVESTMENT FUNDS	AAATC13310J	1	355165	0	0	355165	1.21	355165	0	355165	1.21	0	0.00	NA	NA	355165		
	PINEBRIDGE GLOBAL FUNDS - PINEBRIDGE INDIA EQUITY	AAAT54001C	1	450923	0	0	450923	1.53	450923	0	450923	1.53	0	0.00	NA	NA	450923		
	RAIFFEISEN EUROAN AKTIEN	AAATR1546B	1	330000	0	0	330000	1.12	330000	0	330000	1.12	0	0.00	NA	NA	330000		
	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	AAATV0422N	1	304885	0	0	304885	1.03	304885	0	304885	1.03	0	0.00	NA	NA	304885		
	INDIA INSIGHT VALUE FUND	AAAC08181F	1	430000	0	0	430000	1.44	430000	0	430000	1.44	0	0.00	NA	NA	430000		
	MORGAN STANLEY ASIA (SINGAPORE) PTE. - OOI	AAACM3575F	1	1223394	0	0	1223394	4.16	1223394	0	1223394	4.16	0	0.00	NA	NA	1223394		
	PHILACIAN ACCENT INTERNATIONAL VALUE FUND	AAATP1512C	1	997546	0	0	997546	3.39	997546	0	997546	3.39	0	0.00	NA	NA	997546		
	AQUAMARINE MASTER FUND LP	AAAF40707R	1	350000	0	0	350000	1.19	350000	0	350000	1.19	0	0.00	NA	NA	350000		
	SFC FORESTA MASTER FUND LP	AAEF19171D	1	375000	0	0	375000	1.27	375000	0	375000	1.27	0	0.00	NA	NA	375000		
(f)	Financial Institutions/Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/Pension Funds																		
(i)	Any Other																		
	Qualified Institutional Buyer																		
	LIFE INSURANCE CORPORATION OF INDIA P & GS FUND	AAAC10582H	1	2902136	0	0	2902136	9.85	2902136	0	2902136	9.85	0	0.00	NA	NA	2902136		
	BAVA ALLIANZ LIFE INSURANCE COMPANY LTD.	AAACAL1701E	1	1144002	0	0	1144002	3.88	1144002	0	1144002	3.88	0	0.00	NA	NA	1144002		
	Sub Total (B)(1)		88	14137483	0	0	14137483	47.89	14137483	0	14137483	47.89	0	0.00	NA	NA	14137483		
(2)	Central Government/State Government(s)/President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		58332	7294106	0	0	7294106	24.76	7294106	0	7294106	24.76	0	0.00	NA	NA	7294106		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		22	1298922	0	0	1298922	4.41	1298922	0	1298922	4.41	0	0.00	NA	NA	1298922		
(b)	NBFCs Registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (Holding DRs)(Balancing Figure)																		
(e)	Any Other																		
	TRUSTS																		
	ALTERNATIVE INVESTMENT FUND		3	3944	0	0	3944	0.01	3944	0	3944	0.01	0	0.00	NA	NA	3944		
	NON RESIDENT INDIANS		861	555054	0	0	555054	1.88	555054	0	555054	1.88	0	0.00	NA	NA	555054		
	CLEARING MEMBERS		152	196357	0	0	196357	0.67	196357	0	196357	0.67	0	0.00	NA	NA	196357		
	NON RESIDENT INDIAN NON REPATRIABLE		311	158842	0	0	158842	0.54	158842	0	158842	0.54	0	0.00	NA	NA	158842		
	BODIES CORPORATES		445	5648235	0	0	5648235	19.17	5648235	0	5648235	19.17	0	0.00	NA	NA	5648235		
	BAVA HOLDINGS AND INVESTMENT LTD	AAACB23270K	1	418448	0	0	418448	1.42	418448	0	418448	1.42	0	0.00	NA	NA	418448		
	TATA STEEL LIMITED	AAAC27203M	1	354000	0	0	354000	1.20	354000	0	354000	1.20	0	0.00	NA	NA	354000		
	CRIL LIMITED	AAACT3151E	1	2622431	0	0	2622431	8.90	2622431	0	2622431	8.90	0	0.00	NA	NA	2622431		
	RUSSELL INVESTMENTS LIMITED	AAACR4631C	1	531000	0	0	531000	1.80	531000	0	531000	1.80	0	0.00	NA	NA	531000		
	L E F F		1	121	0	0	121	0.00	121	0	121	0.00	0	0.00	NA	NA	121		
	Sub Total (B)(3)		60356	15323671	0	0	15323671	52.01	15323671	0	15323671	52.01	0	0.00	NA	NA	15323671		
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		60444	29461214	0	0	29461214	100.00	29461214	0	29461214	100.00	0	0.00	NA	NA	29460913		

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			Total as a % of (A+B+C)	No.	As a % of total Shares	No.		As a % of total Shares held
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)		
(1)	Custodian/D/R Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (Under SEBI/Share based Employee Benefit) Plan		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares