

General information about company

Scrip code	534804
NSE Symbol	CARERATING
MSEI Symbol	NA
ISIN	INE752H01013
Name of the company	CARE Ratings Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	71893	29636481			29636481	100	29636481		29636481	100
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	71893	29636481			29636481	100	29636481		29636481	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				100					29635516
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					29635516

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	4	1582166			1582166	5.34	1582166		1582166	5.34
(e)	Foreign Portfolio Investors	67	6870159			6870159	23.18	6870159		6870159	23.18
(f)	Financial Institutions/ Banks	3	6404			6404	0.02	6404		6404	0.02
(i)	Any Other (specify)	8	3182918			3182918	10.74	3182918		3182918	10.74
Sub-Total (B)(1)		82	11641647			11641647	39.28	11641647		11641647	39.28
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	69603	9177005			9177005	30.97	9177005		9177005	30.97
(a(ii))	Individuals - ii. Individual shareholders	33	1996622			1996622	6.74	1996622		1996622	6.74

	holding nominal share capital in excess of Rs. 2 lakhs.										
(b)	NBFCs registered with RBI	1	150			150	0	150		150	0
(e)	Any Other (specify)	2174	6821057			6821057	23.02	6821057		6821057	23.02
Sub-Total (B)(3)		71811	17994834			17994834	60.72	17994834		17994834	60.72
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		71893	29636481			29636481	100	29636481		29636481	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		71893	29636481			29636481	100	29636481		29636481	100
Total (A+B+C)		71893	29636481			29636481	100	29636481		29636481	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				5.34					1582166
(e)				23.18					6870159
(f)				0.02					6404
(i)				10.74					3182918
Sub-Total (B)(1)				39.28					11641647
(3)	Non-institutions								
(a(i))				30.97					9176040
(a(ii))				6.74					1996622
(b)				0					150
(e)				23.02					6821057
Sub-Total (B)(3)				60.72					17993869
Total Public				100					29635516

Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)									
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					29635516
Total (A+B+C)				100					29635516

Mutual Funds

Searial No.	1	2	3	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE DIVIDEND YIELD FUND	NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA MULTI CAP FUND	FRANKLIN INDIA SMALLER COMPANIES FUND	Click here to go back
PAN (II)				Total
No. of fully paid up equity shares held (IV)	547551	450751	479022	1477324
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	547551	450751	479022	1477324
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.85	1.52	1.62	4.98
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	547551	450751	479022	1477324
Class eg:y				
Total	547551	450751	479022	1477324
Total as a % of Total Voting rights	1.85	1.52	1.62	4.98
No. Of Shares Underlying Outstanding convertible securities (X)				

No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.85	1.52	1.62	4.98
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	547551	450751	479022	1477324
Reason for not providing PAN				
Reason for not providing PAN				

Foreign Portfolio Investors

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI	RAIFFEISEN-EURASIEN-AKTIEN	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	INDIA INSIGHT VALUE FUND	PHAEACIAN ACCENT INTERNATIONAL VALUE FUND	PARI WASHINGTON INDIA MASTER FUND, LTD.	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUITY P
PAN (II)							
No. of fully paid up equity shares held (IV)	303379	330000	316616	690000	1045867	765855	722018
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	303379	330000	316616	690000	1045867	765855	722018
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.11	1.07	2.33	3.53	2.58	2.44
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	303379	330000	316616	690000	1045867	765855	722018
Class eg:y							
Total	303379	330000	316616	690000	1045867	765855	722018
Total as a % of Total Voting rights	1.02	1.11	1.07	2.33	3.53	2.58	2.44
No. Of Shares							

Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.02	1.11	1.07	2.33	3.53	2.58	2.44
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	303379	330000	316616	690000	1045867	765855	722018
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors

Serial No.	8	
Name of the Shareholders (I)	AQUAMARINE MASTER FUND L.P	Click here to go back
PAN (II)		Total
No. of fully paid up equity shares held (IV)	350000	4523735
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	350000	4523735
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.18	15.26
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	350000	4523735
Class eg:y		
Total	350000	4523735
Total as a % of Total Voting rights	1.18	15.26
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares		

Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.18	15.26
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	350000	4523735
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	
PAN (II)		Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	2902136	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2902136	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.79	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2902136	0
Class eg:y		
Total	2902136	0
Total as a % of Total Voting rights	9.79	0

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.79	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2902136	0
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Trusts	Others	Non-Resident Indian (NRI)	Clearing Members	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)		ALTERNATIVE INVESTMENT FUND				TATA STEEL LIMITED	CRISIL LIMITED
PAN (II)							
No. of the Shareholders (I)	4	3	1562	64	540	1	1
No. of fully paid up equity shares held (IV)	104967	471078	1019208	34926	5190730	354000	2622431
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	104967	471078	1019208	34926	5190730	354000	2622431
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.35	1.59	3.44	0.12	17.51	1.19	8.85
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	104967	471078	1019208	34926	5190730	354000	2622431
Class eg:y							
Total	104967	471078	1019208	34926	5190730	354000	2622431

Total as a % of Total Voting rights	0.35	1.59	3.44	0.12	17.51	1.19	8.85
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.35	1.59	3.44	0.12	17.51	1.19	8.85
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	104967	471078	1019208	34926	5190730	354000	2622431
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Searial No.	8	9	
Category	Bodies Corporate	IEPF	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)	RUSSELL INVESTMENTS LIMITED		Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	1	1	2174
No. of fully paid up equity shares held (IV)	531000	148	6821057
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	531000	148	6821057
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.79	0	23.01
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	531000	148	6821057
Class eg:y			
Total	531000	148	6821057
Total as a % of Total Voting rights	1.79	0	23.01

No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.79	0	23.01
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	531000	148	6821057
Reason for not providing PAN			
Reason for not providing PAN			

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence