

**General information about company**

Scrip code	534804
NSE Symbol	CARERATING
MSEI Symbol	NA
ISIN	INE752H01013
Name of the company	CARE RATINGS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	72658	29461214			29461214	100	29461214		29461214	100
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	72658	29461214			29461214	100	29461214		29461214	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				100					29460249
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					29460249

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	6	2096888			2096888	7.12	2096888		2096888	7.12
(e)	Foreign Portfolio Investors	72	6225143			6225143	21.13	6225143		6225143	21.13
(f)	Financial Institutions/ Banks	3	6404			6404	0.02	6404		6404	0.02
(i)	Any Other (specify)	8	3168683			3168683	10.76	3168683		3168683	10.76
Sub-Total (B)(1)		89	11497118			11497118	39.02	11497118		11497118	39.02
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	70186	9417080			9417080	31.96	9417080		9417080	31.96
(a(ii))	Individuals - ii. Individual shareholders	27	1521887			1521887	5.17	1521887		1521887	5.17

	holding nominal share capital in excess of Rs. 2 lakhs.										
(b)	NBFCs registered with RBI	1	150			150	0	150		150	0
(e)	Any Other (specify)	2355	7024979			7024979	23.84	7024979		7024979	23.84
Sub-Total (B)(3)		72569	17964096			17964096	60.98	17964096		17964096	60.98
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		72658	29461214			29461214	100	29461214		29461214	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		72658	29461214			29461214	100	29461214		29461214	100
Total (A+B+C)		72658	29461214			29461214	100	29461214		29461214	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				7.12					2096888
(e)				21.13					6225143
(f)				0.02					6404
(i)				10.76					3168683
Sub-Total (B)(1)				39.02					11497118
( 3 )	Non-institutions								
(a(i))				31.96					9416115
(a(ii))				5.17					1521887
(b)				0					150
(e)				23.84					7024979
Sub-Total (B)(3)				60.98					17963131
Total Public				100					29460249

Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)									
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					29460249
Total (A+B+C)				100					29460249



## Mutual Funds

Searial No.	1	2	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE BALANCED ADVANTAGE FUND	FRANKLIN INDIA SMALLER COMPANIES FUND	<a href="#">Click here to go back</a>
PAN (II)			Total
No. of fully paid up equity shares held (IV)	650542	1054022	1704564
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	650542	1054022	1704564
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.21	3.58	5.79
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	650542	1054022	1704564
Class eg:y			
Total	650542	1054022	1704564
Total as a % of Total Voting rights	2.21	3.58	5.79
No. Of Shares Underlying Outstanding convertible securities (X)			

No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.21	3.58	5.79
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	650542	1054022	1704564
Reason for not providing PAN			
Reason for not providing PAN			

### Foreign Portfolio Investors

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI	RAIFFEISEN-EURASIEN-AKTIEN	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	INDIA INSIGHT VALUE FUND	PHAEACIAN ACCENT INTERNATIONAL VALUE FUND	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUITY P	AQUAMARINE MASTER FUND L.P
PAN (II)							
No. of fully paid up equity shares held (IV)	303379	330000	316616	681000	818936	722018	350000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	303379	330000	316616	681000	818936	722018	350000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	1.12	1.07	2.31	2.78	2.45	1.19
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	303379	330000	316616	681000	818936	722018	350000
Class eg:y							
Total	303379	330000	316616	681000	818936	722018	350000
Total as a % of Total Voting rights	1.03	1.12	1.07	2.31	2.78	2.45	1.19
No. Of Shares							

Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.03	1.12	1.07	2.31	2.78	2.45	1.19
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	303379	330000	316616	681000	818936	722018	350000
Reason for not providing PAN							
Reason for not providing PAN							

## Foreign Portfolio Investors

Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	3521949
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3521949
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.95
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	3521949
Class eg:y	
Total	3521949
Total as a % of Total Voting rights	11.95
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares	

Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.95
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	3521949
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	
PAN (II)		Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	2902136	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2902136	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.85	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2902136	0
Class eg:y		
Total	2902136	0
Total as a % of Total Voting rights	9.85	0

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.85	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2902136	0
Reason for not providing PAN		
Reason for not providing PAN		



Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Trusts	Others	Non-Resident Indian (NRI)	Clearing Members	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)		ALTERNATIVE INVESTMENT FUND				TATA STEEL LIMITED	CRISIL LIMITED
PAN (II)							
No. of the Shareholders (I)	4	2	1691	64	593	1	1
No. of fully paid up equity shares held (IV)	104967	186940	985130	82776	5665038	354000	2622431
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	104967	186940	985130	82776	5665038	354000	2622431
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.36	0.63	3.34	0.28	19.23	1.2	8.9
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	104967	186940	985130	82776	5665038	354000	2622431
Class eg:y							
Total	104967	186940	985130	82776	5665038	354000	2622431

Total as a % of Total Voting rights	0.36	0.63	3.34	0.28	19.23	1.2	8.9
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.36	0.63	3.34	0.28	19.23	1.2	8.9
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	104967	186940	985130	82776	5665038	354000	2622431
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Searial No.	8	9	
Category	Bodies Corporate	IEPF	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)	RUSSELL INVESTMENTS LIMITED		<a href="#">Click here to go back</a>
PAN (II)			Total
No. of the Shareholders (I)	1	1	2355
No. of fully paid up equity shares held (IV)	531000	128	7024979
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	531000	128	7024979
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.8	0	23.84
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	531000	128	7024979
Class eg:y			
Total	531000	128	7024979
Total as a % of Total Voting rights	1.8	0	23.84

No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.8	0	23.84
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	531000	128	7024979
Reason for not providing PAN			
Reason for not providing PAN			

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence