

**General information about company**

Scrip code	534804
NSE Symbol	CARERATING
MSEI Symbol	NA
ISIN	INE752H01013
Name of the company	CARE RATINGS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

## Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	68791	29461214			29461214	100	29461214		29461214	100
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	68791	29461214			29461214	100	29461214		29461214	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group									
(B)	Public				100					29460913
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					29460913

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	6	2104301			2104301	7.14	2104301		2104301	7.14
(e)	Foreign Portfolio Investors	68	6928202			6928202	23.52	6928202		6928202	23.52
(f)	Financial Institutions/ Banks	3	6404			6404	0.02	6404		6404	0.02
(i)	Any Other (specify)	9	3333293			3333293	11.31	3333293		3333293	11.31
Sub-Total (B)(1)		86	12372200			12372200	41.99	12372200		12372200	41.99
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	66363	8884490			8884490	30.16	8884490		8884490	30.16
(a(ii))	Individuals - ii. Individual shareholders	24	1184540			1184540	4.02	1184540		1184540	4.02

	holding nominal share capital in excess of Rs. 2 lakhs.										
(b)	NBFCs registered with RBI	2	101358			101358	0.34	101358		101358	0.34
(e)	Any Other (specify)	2316	6918626			6918626	23.48	6918626		6918626	23.48
Sub-Total (B)(3)		68705	17089014			17089014	58.01	17089014		17089014	58.01
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		68791	29461214			29461214	100	29461214		29461214	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		68791	29461214			29461214	100	29461214		29461214	100
Total (A+B+C)		68791	29461214			29461214	100	29461214		29461214	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				7.14					2104301
(e)				23.52					6928202
(f)				0.02					6404
(i)				11.31					3333293
Sub-Total (B)(1)				41.99					12372200
( 3 )	Non-institutions								
(a(i))				30.16					8884189
(a(ii))				4.02					1184540
(b)				0.34					101358
(e)				23.48					6918626
Sub-Total (B)(3)				58.01					17088713
Total Public				100					29460913

Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)									
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					29460913
Total (A+B+C)				100					29460913



## Mutual Funds

Searial No.	1	2	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE MULTI-CAP FUND	FRANKLIN INDIA SMALLER COMPANIES FUND	<a href="#">Click here to go back</a>
PAN (II)			Total
No. of fully paid up equity shares held (IV)	677337	1054022	1731359
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	677337	1054022	1731359
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.3	3.58	5.88
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	677337	1054022	1731359
Class eg:y			
Total	677337	1054022	1731359
Total as a % of Total Voting rights	2.3	3.58	5.88
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares			

Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.3	3.58	5.88
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	677337	1054022	1731359
Reason for not providing PAN			
Reason for not providing PAN			

### Foreign Portfolio Investors

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI	RAIFFEISEN-EURASIEN-AKTIEN	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	INDIA INSIGHT VALUE FUND	GOLDMAN SACHS INDIA LIMITED	MORGAN STANLEY ASIA (SINGAPORE) PTE. - ODI	PHAEACIAN ACCENT INTERNATIONAL VALUE FUND
PAN (II)							
No. of fully paid up equity shares held (IV)	303379	330000	316616	660000	722018	575975	844167
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	303379	330000	316616	660000	722018	575975	844167
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	1.12	1.07	2.24	2.45	1.96	2.87
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	303379	330000	316616	660000	722018	575975	844167
Class eg:y							
Total	303379	330000	316616	660000	722018	575975	844167
Total as a % of Total Voting rights	1.03	1.12	1.07	2.24	2.45	1.96	2.87
No. Of Shares							

Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.03	1.12	1.07	2.24	2.45	1.96	2.87
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	303379	330000	316616	660000	722018	575975	844167
Reason for not providing PAN							
Reason for not providing PAN							

## Foreign Portfolio Investors

Searial No.	8	9	
Name of the Shareholders (I)	AQUAMARINE MASTER FUND L.P	SFC FORESTA MASTER FUND, LP	<a href="#">Click here to go back</a>
PAN (II)			Total
No. of fully paid up equity shares held (IV)	350000	647000	4749155
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	350000	647000	4749155
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.19	2.2	16.12
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	350000	647000	4749155
Class eg:y			
Total	350000	647000	4749155
Total as a % of Total Voting rights	1.19	2.2	16.12
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares			

Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.19	2.2	16.12
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	350000	647000	4749155
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA P & GS FUND	
PAN (II)	AAACL0582H	Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	2902136	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2902136	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.85	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2902136	0
Class eg:y		
Total	2902136	0
Total as a % of Total Voting rights	9.85	0

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.85	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2902136	0
Reason for not providing PAN		
Reason for not providing PAN		





Total	104987	657048	148796	215648	354000	2622431	531000
Total as a % of Total Voting rights	0.36	2.23	0.51	0.73	1.2	8.9	1.8
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.36	2.23	0.51	0.73	1.2	8.9	1.8
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	104987	657048	148796	215648	354000	2622431	531000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)				
Searial No.	8	9	10	
Category	IEPF	Others	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)		ALTERNATIVE INVESTMENT FUND		<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	1	2	583	2316
No. of fully paid up equity shares held (IV)	128	186940	5605079	6918626
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	128	186940	5605079	6918626
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.63	19.03	23.49
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	128	186940	5605079	6918626
Class eg:y				
Total	128	186940	5605079	6918626
Total as a % of Total Voting rights	0	0.63	19.03	23.49

No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.63	19.03	23.49
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	128	186940	5605079	6918626
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence