

a. Securities

Annexure VI A									
Category-wise List of Defaults - Long Term Scale									
Sr. No.	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs. million)	Listing Status	Rating prior to Default	Trigger Event	Date of trigger of event/ receiving intimation	Date of Default Recognition
Rating Prior to default - AAA Category									
Rating Prior to default - AA Category									
Rating Prior to default - A Category									
Rating Prior to default - BBB Category									
Rating Prior to default - BB Category									
1	IL&FS Financial Services Limited	NBFI	Subordinate Debt	6,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
2	IL&FS Financial Services Limited	NBFI	Subordinate Debt	1,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
3	IL&FS Financial Services Limited	NBFI	Non Convertible Debentures	4,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
4	IL&FS Financial Services Limited	NBFI	Subordinate Debt	1,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
5	IL&FS Financial Services Limited	NBFI	Non Convertible Debentures	5,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
6	IL&FS Financial Services Limited	NBFI	Subordinate Debt	1,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
7	IL&FS Financial Services Limited	NBFI	Non Convertible Debentures	10,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
8	IL&FS Financial Services Limited	NBFI	Non Convertible Debentures	4,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
9	IL&FS Financial Services Limited	NBFI	Non Convertible Debentures	10,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
10	IL&FS Financial Services Limited	NBFI	Subordinate Debt	1,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
11	IL&FS Financial Services Limited	NBFI	Non Convertible Debentures	15,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
12	IL&FS Financial Services Limited	NBFI	Subordinate Debt	1,000.0	Unlisted	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
13	IL&FS Financial Services Limited	NBFI	Non Convertible Redeemable Preference Share	2,500.0	Unlisted	CARE BB- (RPS) (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
14	IL&FS Financial Services Limited	NBFI	Perpetual Debt	2,000.0	Unlisted	CARE BB- (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
15	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	5,000.0	Listed	CARE BB (Under Credit watch with Development Implications)	Intimation of default	15-Sep-18	17-Sep-18
16	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	214.4	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
17	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	3,250.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
18	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	4,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
19	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	5,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
20	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	6,950.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
21	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	4,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
22	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	3,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
23	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	5,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
24	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	5,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
25	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	10,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
26	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	10,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
27	Infrastructure Leasing & Financial Services Limited	NBFI	Subordinate Debt	48.1	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
28	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	10,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
29	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	10,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
30	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	5,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
31	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Debentures	10,000.0	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
32	Infrastructure Leasing & Financial Services Limited	NBFI	Cumulative Redeemable Preference Shares	10,000.0	Listed	CARE BB- (RPS) (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
33	Infrastructure Leasing & Financial Services Limited	NBFI	Non Convertible Redeemable Preference Share	5,000.0	Listed	CARE BB- (RPS) (Under Credit watch with Negative Implications)	Intimation of default	15-Sep-18	17-Sep-18
Rating Prior to default - B Category									
1	Nishch Pure Mall Private Limited	Real Estate activities	Non Convertible Debentures	2,350.0	Listed	CARE B+ Stable	Intimation of default	21-May-18	23-May-18
2	Ozone Projects Private Limited	Other manufacturing	Non Convertible Debentures	189.3	Unlisted	CARE B+ Stable	Intimation of default	03-May-18	03-May-18
C or equivalent									
1	Embee Distilleries Limited	Beverages	Non Convertible Debentures	475.0	Listed	CARE C- Negative	Intimation of default	01-Jun-18	05-Jun-18

Annexure VI B									
Category-wise List of Defaults - Short Term Scale									
Sr. No.	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs. million)	Listing Status	Rating prior to Default	Trigger Event	Date of trigger of event/ receiving intimation	Date of Default Recognition
Rating Prior to default - A1 Category									
Rating Prior to default - A2 Category									
Rating Prior to default - A3 Category									
Rating Prior to default - A4 Category									

b. Financial Instruments other than securities

Annexure VI A									
Category-wise List of Defaults - Long Term Scale									
Sr. No.	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs. million)	Listing Status	Rating prior to Default	Trigger Event	Date of trigger of event/ receiving intimation	Date of Default Recognition
Rating Prior to default - AAA Category									
Rating Prior to default - AA Category									
Rating Prior to default - A Category									
Rating Prior to default - BBB Category									
1	Bhandari Foils & Tubes Limited	Iron and Steel	Cash Credit	900.0	Unlisted	CARE BBB- Stable	Intimation of default	29-May-18	30-May-18
2	Bhonda Power Corporation Limited	Electricity - Generation	Term Loan	5,296.7	Unlisted	CARE BBB- Stable	Intimation of default	25-May-18	29-May-18
3	BKM Industries Limited	Other manufacturing	Term Loan	200.0	Listed	CARE BBB- Negative	Intimation of default	13-Sep-18	17-Sep-18
4	BKM Industries Limited	Other manufacturing	Cash Credit	600.0	Listed	CARE BBB- Negative	Intimation of default	13-Sep-18	17-Sep-18
5	ITNL Road Infrastructure Development Company Limited	Construction	Term Loan	402.4	Unlisted	CARE BBB- Stable	Intimation of default	10-Jul-18	12-Jul-18
6	Kaavam Food Industries Private Limited	Food and food products	Term Loan	2,142.0	Unlisted	CARE BBB- Stable	Intimation of default	16-Jul-18	18-Jul-18
7	Kaavam Food Industries Private Limited	Food and food products	Cash Credit	500.0	Unlisted	CARE BBB- Stable	Intimation of default	16-Jul-18	18-Jul-18
8	Maison De Couture Fabrics Private Limited	Wholesale and retail trade	Term Loan	117.0	Unlisted	CARE BBB- Stable	Intimation of default	15-May-18	17-May-18
9	Maison De Couture Fabrics Private Limited	Wholesale and retail trade	Cash Credit	288.2	Unlisted	CARE BBB- Stable	Intimation of default	15-May-18	17-May-18
10	Maxima Traders Bhopal Private Limited	Wine Trading	Cash Credit	180.0	Unlisted	CARE BBB- Stable	Intimation of default	03-Jul-18	05-Jul-18
11	Maxima Traders Bhopal Private Limited	Wine Trading	Stand by Limits	50.0	Unlisted	CARE BBB- Stable	Intimation of default	03-Jul-18	05-Jul-18
12	Million Traders Bhopal Private Limited	Wine Trading	Cash Credit	150.0	Unlisted	CARE BBB- Stable	Intimation of default	03-Jul-18	05-Jul-18
13	Million Traders Bhopal Private Limited	Wine Trading	Stand by Limits	50.0	Unlisted	CARE BBB- Stable	Intimation of default	03-Jul-18	05-Jul-18

Sr. No.	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs. million)	Listing Status	Rating prior to Default	Trigger Event	Date of trigger of event/receiving intimation	Date of Default Recognition
101	S. M. AutoStar Private Limited	Auto	Cash Credit	47.5	Unlisted	CARE B: Stable	Intimation of default	14-Sep-18	18-Sep-18
102	S. M. AutoStar Private Limited	Auto	Proposed fund based limit	8.4	Unlisted	CARE B: Stable	Intimation of default	14-Sep-18	18-Sep-18
103	S. R. Precision Composites Private Limited	Term Loan	Cash Credit	14.1	Unlisted	CARE B: Stable	Intimation of default	14-Sep-18	17-May-18
104	S. R. Precision Composites Private Limited	Auto	Bank Overdraft	32.5	Unlisted	CARE B: Stable	Intimation of default	16-May-18	17-May-18
105	S.D.S. Electronics Private Limited	Other manufacturing	Cash Credit	30.0	Unlisted	CARE B	Intimation of default	12-Sep-18	14-Sep-18
106	S.D.S. Electronics Private Limited	Other manufacturing	Bank Overdraft	10.0	Unlisted	CARE B	Intimation of default	12-Sep-18	14-Sep-18
107	Sasa Automotive India Private Limited	Auto	Cash Credit	190.0	Unlisted	CARE B: Stable	Annual Surveillance	06-Jun-18	08-Jun-18
108	Sasa Automotive India Private Limited	Auto	Term Loan	22.1	Unlisted	CARE B: Stable	Annual Surveillance	06-Jun-18	08-Jun-18
109	Sanoor Life Sciences Private Limited	Pharmaceuticals	Term Loan	35.8	Unlisted	CARE B: Stable	Annual Surveillance	29-Jun-18	02-Jul-18
110	Sanoor Life Sciences Private Limited	Pharmaceuticals	Cash Credit	75.0	Unlisted	CARE B: Stable	Annual Surveillance	29-Jun-18	02-Jul-18
111	Sanoor Life Sciences Private Limited	Pharmaceuticals	Term Loan	2.8	Unlisted	CARE B: Stable	Annual Surveillance	29-Jun-18	02-Jul-18
112	Shanti Agro Foods Private Limited	Other manufacturing	Term Loan	49.2	Unlisted	CARE B+	Intimation of default	29-Jun-18	03-Jul-18
113	Shanti Agro Foods Private Limited	Other manufacturing	Cash Credit	245.0	Unlisted	CARE B+	Intimation of default	29-Jun-18	03-Jul-18
114	Shikhar Integrated Cold Chain Private Limited	Transportation and storage	Term Loan	320.0	Unlisted	CARE B	Intimation of default	18-Sep-18	20-Sep-18
115	Shikhar Integrated Cold Chain Private Limited	Transportation and storage	Cash Credit	50.0	Unlisted	CARE B	Intimation of default	18-Sep-18	20-Sep-18
116	Shradha Agencies Private Limited	Wholesale and retail trade	Cash Credit	130.0	Unlisted	CARE B: Stable	Intimation of default	22-May-18	23-May-18
117	Shree Ganesh Cold Storage	Transportation and storage	Bank Overdraft	40.0	Unlisted	CARE B: Stable	Intimation of default	10-Jul-18	12-Jul-18
118	Shri Varubhan Rice Mills Private Limited	Food and food products	Cash Credit	292.0	Unlisted	CARE B	Intimation of default	10-Jul-18	12-Jul-18
119	Shri Varubhan Rice Mills Private Limited	Food and food products	Term Loan	8.7	Unlisted	CARE B	Intimation of default	10-Jul-18	12-Jul-18
120	Shubham Propmart Private Limited	Hospitality	Term Loan	98.1	Unlisted	CARE B: Stable	Intimation of default	19-Sep-18	20-Sep-18
121	Sivaworld Exim	Wholesale and retail trade	Cash Credit	255.0	Unlisted	CARE B+ Stable	Intimation of default	13-Jun-18	15-Jun-18
122	SMBT Sevabhavi Trust	Education	Term Loan	204.6	Unlisted	CARE B: Stable	Intimation of default	11-Apr-18	12-Apr-18
123	SMBT Sevabhavi Trust	Education	Term Loan	70.0	Unlisted	CARE B: Stable	Intimation of default	11-Apr-18	12-Apr-18
124	Sparx Engineering Devices Limited	Other manufacturing	Cash Credit	210.0	Unlisted	CARE B+ Stable	Intimation of default	14-Sep-18	14-Sep-18
125	Sri Balaji Industries	Pharmaceuticals	Term Loan	26.3	Unlisted	CARE B	Intimation of default	30-May-18	01-Jun-18
126	Sri Balaji Industries	Pharmaceuticals	Cash Credit	45.0	Unlisted	CARE B	Intimation of default	30-May-18	01-Jun-18
127	Sri Balaji Raw and Parboiled Rice Mills Private Limited	Food and food products	Cash Credit	200.0	Unlisted	CARE B+	Intimation of default	21-Jun-18	22-Jun-18
128	Taps Agro Irrigation Systems Private Limited	Rubber and plastics Products	Term Loan	95.0	Unlisted	CARE B+	Intimation of default	18-Jun-18	20-Jun-18
129	Taps Agro Irrigation Systems Private Limited	Rubber and plastics Products	Cash Credit	33.7	Unlisted	CARE B+	Intimation of default	18-Jun-18	20-Jun-18
130	Teapathy Tea Private Limited	Agriculture, forestry and fishing	Term Loan	52.0	Unlisted	CARE B: Stable	Intimation of default	08-Aug-18	08-Aug-18
131	Teapathy Tea Private Limited	Agriculture, forestry and fishing	Cash Credit	11.5	Unlisted	CARE B+	Intimation of default	08-Aug-18	08-Aug-18
132	Thema Hotels Private Limited	Hospitality	Term Loan	38.9	Unlisted	CARE B+ Stable	Intimation of default	05-Sep-18	07-Sep-18
133	Thema Imaging and Diagnostics LLP	Other manufacturing	Term Loan	150.0	Unlisted	CARE B+ Stable	Intimation of default	30-Jul-18	31-Jul-18
134	Universal Tube Accessories Private Limited	Iron and Steel	Fund-based Long Term	128.1	Unlisted	CARE B	Intimation of default	03-Jul-18	04-Jul-18
135	Universal Tube Accessories Private Limited	Iron and Steel	Cash Credit	6.8	Unlisted	CARE B	Intimation of default	03-Jul-18	04-Jul-18
136	V.K.K. Associates Private Limited	Hospitality	Term Loan	80.1	Unlisted	CARE B	Intimation of default	26-Apr-18	30-Apr-18
137	V.K.K. Associates Private Limited	Hospitality	Term Loan	4.8	Unlisted	CARE B	Intimation of default	26-Apr-18	30-Apr-18
138	V.K.K. Associates Private Limited	Hospitality	Cash Credit	30.0	Unlisted	CARE B	Intimation of default	26-Apr-18	30-Apr-18
139	V.K.K. Associates Private Limited	Hospitality	Proposed fund based limit	5.2	Unlisted	CARE B	Intimation of default	26-Apr-18	30-Apr-18
140	Venvel Forgings	Other manufacturing	Cash Credit	50.0	Unlisted	CARE B+ Stable	Intimation of default	25-Sep-18	27-Sep-18
141	Venvel Forgings	Other manufacturing	Term Loan	39.1	Unlisted	CARE B+ Stable	Intimation of default	25-Sep-18	27-Sep-18
142	Vit International Private Limited	Iron and Steel	Cash Credit	60.0	Unlisted	CARE B+ Stable	Intimation of default	13-Sep-18	17-Sep-18
143	Viswabharathi Educational Society	Education	Term Loan	1,550.0	Unlisted	CARE B+ Stable	Intimation of default	11-Jun-18	13-Jun-18
144	Viswabharathi Educational Society	Education	Bank Guarantees	95.0	Unlisted	CARE B+ Stable	Intimation of default	11-Jun-18	13-Jun-18
145	Viswabharathi Educational Society	Education	Bank Overdraft	20.0	Unlisted	CARE B+ Stable	Intimation of default	11-Jun-18	13-Jun-18
146	Vizag Beban Private Limited	Iron and Steel	Cash Credit	450.0	Unlisted	CARE B+ Stable	Intimation of default	23-Apr-18	24-Apr-18
147	Vizag Beban Private Limited	Iron and Steel	Working capital Term Loan	500.0	Unlisted	CARE B+ Stable	Intimation of default	23-Apr-18	24-Apr-18
148	Vivanta Hotels Private Limited	Pharmaceuticals	Cash Credit	30.0	Unlisted	CARE B+ Stable / CARE A4	Intimation of default	13-Aug-18	16-Aug-18
149	Vivanta Hotels Private Limited	Chemistry	Bank Guarantees	10.0	Unlisted	CARE B+ / CARE A4	Intimation of default	31-May-18	04-Jun-18
150	Wanjin Commercial Private Limited	Other manufacturing	Fund-based LT/Non-fund-based ST	18.3	Unlisted	CARE B+ Stable / CARE A4	Intimation of default	29-Mar-18	06-Apr-18
151	Wanjin Batteries Private Limited	Other manufacturing	Cash Credit	77.0	Unlisted	CARE B+ / CARE A4	Intimation of default	05-Apr-18	09-Apr-18
152	Wanjin Batteries Private Limited	Other manufacturing	Bank Guarantees	10.0	Unlisted	CARE B+ / CARE A4	Intimation of default	05-Apr-18	09-Apr-18
153	Wanjin Pharmaceuticals Limited	Pharmaceuticals	Packing Credit in Foreign Currency	750.0	Unlisted	CARE B: Stable / CARE A4	Intimation of default	03-Apr-18	05-Apr-18
154	Wanjin Textiles & Conarns	Wholesale and retail trade	Cash Credit	200.0	Unlisted	CARE B+ Stable / CARE A4	Intimation of default	12-Jul-18	16-Jul-18
155	Wanjin Textiles & Conarns	Wholesale and retail trade	Term Loan	5.0	Unlisted	CARE B+ Stable / CARE A4	Annual Surveillance	18-Jul-18	20-Jul-18
156	Wanjin Textiles & Conarns	Wholesale and retail trade	Fund-based LT/Non-fund-based ST	5.0	Unlisted	CARE B+ Stable / CARE A4	Annual Surveillance	18-Jul-18	20-Jul-18
157	Wanjin Textiles & Conarns	Wholesale and retail trade	Buyers Credit	26.6	Unlisted	CARE B / CARE A4	Intimation of default	09-Jul-18	11-Jul-18
158	Wanjin Textiles & Conarns	Wholesale and retail trade	Bank Guarantees	3.0	Unlisted	CARE B: Stable / CARE A4	Intimation of default	23-Aug-18	24-Aug-18
159	Wanjin Textiles & Conarns	Wholesale and retail trade	Bank Guarantees	7,990.0	Unlisted	CARE B: Stable / CARE A4	Intimation of default	30-Mar-18	04-Apr-18
160	Wanjin Textiles & Conarns	Wholesale and retail trade	Cash Credit	56.0	Unlisted	CARE B+ Stable / CARE A4	Intimation of default	03-Jul-18	03-Jul-18
Annexure VI B									
Category-wise List of Defaults – Short Term Scale									
Rating Prior to default - A1 Category									
Rating Prior to default - A2 Category									
Rating Prior to default - A3 Category									
1	Bhandari Foils & Tubes Limited	Iron and Steel	Letter of credit	98.0	Unlisted	CARE A3	Intimation of default	29-May-18	30-May-18
2	Bhandari Foils & Tubes Limited	Iron and Steel	Credit Exposure Limit	34.6	Unlisted	CARE A3	Intimation of default	29-May-18	30-May-18
3	BKM Industries Limited	Other manufacturing	BSU/C	285.0	Unlisted	CARE A3+	Intimation of default	13-Sep-18	17-Sep-18
4	Maxima Traders Bhopal Private Limited	Wine Trading	Bank Guarantees	425.0	Unlisted	CARE BBB- Stable / CARE A3	Intimation of default	03-Jul-18	05-Jul-18
5	Milison Traders Bhopal Private Limited	Wine Trading	Bank Guarantees	250.0	Unlisted	CARE BBB- Stable / CARE A3	Intimation of default	03-Jul-18	05-Jul-18

